


10 years of Evidence – From Information to Implementation  
Prof. Heather Loveday, University of West London  
Broadcast live from the 2017 Infection Prevention Society conference



# 10 years of Evidence – From Information to Implementation

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September 20, 2017

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## Disclosures

- RWR is in receipt of unencumbered research grant funding from GAMA Healthcare to investigate patient hand hygiene;
- I have not chosen 10 most cited papers...
- This is a personal reflection and choice of papers/publications that I think have had an impact on how we have developed infection prevention and control practice.

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30<sup>th</sup> June 2008

Infections 'the biggest NHS fear'



[http://newsimg.bbc.co.uk/media/images/47563000/jpg/\\_47563522\\_44766357\\_bbc\\_news\\_channel\\_512-1.jpg](http://newsimg.bbc.co.uk/media/images/47563000/jpg/_47563522_44766357_bbc_news_channel_512-1.jpg)



Half the solution  
to any problem lies  
in defining it.

Dr. Phil



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Journal of Hospital Infection (2008) 68, 100–106



Available online at [www.sciencedirect.com](http://www.sciencedirect.com)

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#### Four Country Healthcare Associated Infection Prevalence Survey 2006: overview of the results

E.T.M. Smyth<sup>a,b</sup>, G. McIlverey<sup>c</sup>, J.E. Eastone<sup>d</sup>, A.M. Ermerson<sup>e</sup>, H. Humphreys<sup>a</sup>, F. Fitzpatrick<sup>a,f</sup>, E. Davies<sup>g</sup>, R.G. Newcombe<sup>h</sup>, R.C. Spencer<sup>i</sup>, on behalf of the Hospital Infection Society Prevalence Survey Steering Group

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<sup>b</sup>Infection Prevention and Control, The Belfast HSC Trust, Belfast, UK  
<sup>c</sup>Hospital Infection Society, London, UK  
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Received 19 February 2008; accepted 18 April 2008  
Available online 11 June 2008

#### KEYWORDS

Surveillance;  
Prevalence;  
Healthcare-associated  
infection;  
Multi-country survey;  
Antibiotic resistance;  
Chloramphenicol

**Summary** A survey of adult patients was conducted in February 2006 to help define the burden of healthcare-associated infections (HCAIs) in the Republic of Ireland and the Republic of Northern Ireland. The prevalence of healthcare-associated infections (HCAIs) in a total of 75 884 patients was surveyed. 5243 of these had HCAIs, giving a prevalence of 7.0% (95% confidence interval: 7.45–7.35). HCAI prevalence in England was 8.1% in Wales 6.2%, in Northern Ireland 6.0% and in the Republic of Ireland 6.8%. The most common HCAI system infections were gastrointestinal (20.4% of all HCAIs), urinary tract (17.9%), surgical site (16.3%), pneumonia (14.1%), skin and soft tissue (10.4%) and primary bloodstream (7.0%). Prevalence of MRSA was 1.15% with MRSA being the causative organism in 15.8% of all system infections. Prevalence of Clostridium difficile was 1.21%. This

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0950-2688/\$ – see front matter © 2008 The Hospital Infection Society. Published by Elsevier Ltd. All rights reserved.  
doi:10.1016/j.jhin.2008.06.002

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# The trouble with CHECKLISTS

An easy method that promised to save lives in hospitals worldwide may not be so simple after all.

BY EMILY ANTHES

516 | NATURE | VOL 523 | 30 JULY 2015



Original work: Russ, S. J. et al. *Ann. Surg.* **261**, 81–91 (2015).

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**ADAPT  
to  
ADOPT**

<https://i.ytimg.com/vi/5tgH0uTqqcE/maxresdefault.jpg>

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Richard et al. BMC Infect Dis (2017) 17:141  
 http://www.biomedcentral.com/10.1186/s12879-017-1751-1

BMC Medicine

RESEARCH ARTICLE Open Access

### Routine resite of peripheral intravenous devices every 3 days did not reduce complications compared with clinically indicated resite: a randomised controlled trial

Clare M Rickard<sup>1,2</sup>, Darragh McCann<sup>1</sup>, Jane Munnings<sup>1</sup>, Matthew R McGee<sup>1</sup>

**Abstract**  
**Background:** Peripheral intravenous device (PID) complications were traditionally thought to be reduced by limiting dwell time. Current recommendations are to resite PIDs by 96 hours with the exception of children and patients with poor veins. Recent evidence suggests routine resite is unnecessary, at least if devices are inserted by a specialised IV team. The aim of this study was to compare the impact of peripheral IV 'routine resite' with removal on clinical indicators on PID complications in a general hospital without an IV team.  
**Methods:** A randomised, controlled trial was conducted in a regional teaching hospital. After ethics approval, 362 patients with PIDs were randomised to have PIDs replaced on clinical indication (185 patients) or routine change every 3 days (177 patients). PIDs were inserted and managed by the general hospital medical and nursing staff. There was no IV team. The primary endpoint was a composite of PID complications: phlebitis, infiltration, occlusion, accidental removal, local infection, and device-related bloodstream infection.  
**Results:** PID complication rates were 88 per 1000 PID-days (clinically indicated) and 60 per 1000 PID-days (routine replacement) ( $P = 0.86$ , 95% CI: 0.54, 1.43). Time to first complication per patient did not differ between groups (881 with log rank,  $P = 0.53$ ). There were no local infections or IV-related bloodstream infections in either group. IV therapy duration did not differ between groups ( $P = 0.23$ ), but more ( $P = 0.004$ ) PIDs were placed per patient in the routine replacement group, 1.83 than the clinical indicator group (mean, 1.51), with significantly higher hospital costs per patient ( $P < 0.001$ ).  
**Conclusions:** Resite on clinical indication would allow one in two patients to have a single cannula per course of IV treatment, as opposed to one in five patients managed with routine resite; overall complication rates appear similar. Clinically indicated resite varied as lower savings in equipment, staff time and patient discomfort. There is growing evidence to support the extended use of peripheral PIDs with removal only on clinical indication.  
**Registration number:** Australian New Zealand Clinical Trials Registry (ANZCTR). Number: ACTRN12616000401336.

**Background**  
 Peripheral intravenous device (PID) insertion is the most commonly performed invasive procedure in hospitalised patients, with an estimated 150 million peripheral intravenous devices placed each year in North America alone [1]. PIDs are vital for delivery of hydration, medications

and nutrition but are not without complications. Serious adverse outcomes are fortunately rare, with IV-related bloodstream infections reported in a recent meta-analysis of 110 studies to occur in 0.2% of devices and 8.5 per 1,000 device days [2]. IV/D treatment is more frequently interrupted by phlebitis, an irritation of the vein characterised by pain, tenderness on palpation, erythema, warmth, swelling, induration or palpable cord (thrombosis) of the vascularised vein; diagnosis algorithm usually requires two or more of these conditions [3-5]. Phlebitis is

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Background (continued)  
**Clinically-indicated replacement versus routine replacement of peripheral venous catheters**

Jan Willey<sup>1,2,3</sup>, James O'Hanlon<sup>1</sup>, Clare M Rickard<sup>1</sup>, Karen Boyd<sup>1</sup>

<sup>1</sup>Centre for Clinical Nursing, Royal Brisbane and Women's Hospital, Brisbane, Australia; <sup>2</sup>NSHMRC Centre of Research Excellence in Nursing, Queensland Health, Princess Alexandra Hospital, Brisbane, Queensland, Australia; <sup>3</sup>School of Nursing, Queensland University of Technology, Kelvin Grove (Brisbane), Australia; <sup>4</sup>Royal Brisbane and Women's Hospital, Brisbane, Australia; <sup>5</sup>The University of Queensland, School of Nursing, St. Lucia, Brisbane, Queensland, Australia

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**Editorial group:** Cochrane Vascular Group.  
**Publication status and date:** New search for studies and content updated. No change to conclusion, published in Issue 8, 2015.  
**Citation:** Willey J, O'Hanlon J, Rickard CM, New K. Clinically indicated replacement versus routine replacement of peripheral venous catheters. *Cochrane Database of Systematic Reviews* 2015, Issue 8. Art. No.: CD010778. DOI: 10.1002/14651858.CD010778.pub4.  
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**ABSTRACT**

**Background**  
 US Centers for Disease Control guidelines recommend replacement of peripheral intravenous (PIV) catheters no more frequently than every 72 to 96 hours. Routine replacement is thought to reduce the risk of phlebitis and bloodstream infections. Catheter insertion is an unpleasant experience for patients and replacement may be unnecessary if the catheter remains functional and there are no signs of inflammation. Cost associated with routine replacement may be considerable. This is an update of a review first published in 2010.

**Objectives**  
 To assess the effects of removing peripheral IV catheters when clinically indicated compared with removing and reinserting the catheter routinely.

**Search methods**  
 For this update the Cochrane Vascular Trial Search Co-ordinator searched the Cochrane Vascular Specialised Register (March 2015) and CENTRAL, EMBASE, June 15, 96; also searched clinical trial registers (April 2015).

**Selection criteria**  
 Randomised controlled trials that compared routine removal of peripheral IV catheters with removal only when clinically indicated in hospitalised or community-dwelling patients receiving continuous or intermittent infusions.

**Data collection and analysis**  
 Two review authors independently assessed trial quality and extracted data.

**Main results**  
 Seven trials with a total of 695 patients were included in the review. The quality of the evidence was high for most outcomes but was downgraded to moderate for the outcome catheter-related bloodstream infections (CRBSIs). The downgrade was due to multiple comparisons, which resulted in a high level of uncertainty around the effect estimate. CRBSI rate assessed in four trials (3988 patients). There

clinically indicated replacement versus routine replacement of peripheral venous catheters (Review) (update to 2015) Review authors: Jan Willey, Karen Boyd

**WILEY**



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Humans are allergic to change. They love to say, 'We've always done it this way.' I try to fight that. That's why I have a clock on my wall that runs counter-clockwise.

— Grace Hopper —

**AZ QUOTES**

<http://www.azquotes.com/picture-quotes/quote-humans-are-allergic-to-change-they-love-to-say-we-ve-always-done-it-this-way-i-try-to-grace-hopper-55-38-83.jpg>

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Ergonomics, 2017  
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Taylor & Francis

**Infection outbreaks in acute hospitals: a systems approach**

**Patrick Waterson**

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Accepted for publication: 14 September 2019

Key words: Acute hospitals; healthcare-associated infections; healthcare ergonomics; systems analysis; sociotechnical systems; organisational change

**Abstract**

This paper publishes the ideas for applying a systems approach to the analysis of hospital-based infection outbreaks. A major advantage of the systems approach is that it allows us to look at how actions at one system level (e.g. individual events) collectively interact with those in a leadership, organisational (e.g. safety culture) levels of analysis. Many of the research approaches with a history of aspects of infection control have focused on a single level of analysis (e.g. interventions to improve hand washing). The infection outbreaks at the Huddersfield and Tarrington Health NHS Trust are used as a case study to explore the characteristics of the systems approach. The paper further outlines the human and organisational factors related to the analysis and identifies a strategy through which these aspects of infection can be highlighted as part of a future research agenda.

**Introduction**

Over the past few years the subject of hospital infection control has become the subject of much media attention (e.g. Goodwin 2007; BBC's Panorama 2008). A number of high-profile hospital outbreaks within the United Kingdom (UK) involving bacteria such as *Clostridium difficile* and enterobacteria that have caused around 1,800 deaths and the number of hospital-acquired infections has made infection control a central priority for the NHS and other healthcare systems worldwide (Panofsky et al. 2015). Much of the debate in the UK has concentrated on improving hygiene within hospitals (e.g. hand washing). This has resulted in a focus on the role of the individual, moral and organisational factors that drive the infection control system (Waterson 2010). The systems approach to infection control (Waterson 2010) is a systems approach to infection control that involves social and technical

**SEIPS 2.0: a human factors framework for studying and improving the work of healthcare professionals and patients**

Richard F. Holten<sup>a</sup>, Patrick Crotty<sup>a,b</sup>, Ayse P. Guner<sup>a,c,d</sup>, Peter Boushler<sup>e</sup>, Ann Schoofs Hundt<sup>f,g</sup>, A. Ann Oost<sup>h,i,j</sup> and A. Jay Rouse Rodriguez<sup>k</sup>

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(Received 19 June 2017; accepted 22 August 2017)

Healthcare practitioners, patient safety leaders, educators and researchers increasingly recognize the value of human factors/ergonomics and make use of the discipline's process control models of sociotechnical systems. This paper first reviews one of the most widely used healthcare human factors systems models, the Systems Engineering Initiative for Patient Safety (SEIPS) model, and then introduces an extended model, SEIPS 2.0. SEIPS 2.0 incorporates three novel concepts into the original model: configuration, organization and adaptation. The concept of configuration highlights the dynamic, hierarchical and interactive properties of sociotechnical systems, making it possible to capture how healthcare performance is shaped at a moment in time. Configuration concepts that relate individuals and teams can perform health-related activities separately and collaboratively. Engaged individuals create multiple patients, family caregivers and other non-professionals. Adaptation is introduced as a feedback mechanism that captures how dynamic systems evolve in planned and unplanned ways. Key implications and future directions for human factors research in healthcare are discussed.

**Practitioner Summary:** SEIPS 2.0 is a new human factors/ergonomics framework for studying and improving health and healthcare. It describes how sociotechnical systems shape healthcare work done by professionals and non-professionals, independently and collaboratively. Work processes, in turn, shape patient, professional and organizational outcomes. Work systems and processes are being planned and implemented.

**Keywords:** healthcare; work system; patient-control care; patient and family engagement; SEIPS model

**1. Introduction**

Human factors/ergonomics is a discipline increasingly recognized, promoted and applied by healthcare leaders and stakeholders including practitioners, patient safety leaders, educators and researchers (Haines, Hood, and Proctor 2012; Nara 2012; Bate et al. 2015; World Health Organization 2009). At the same time, the science and practice of human factors in the healthcare domain continue to evolve (Carson 2012). Within the healthcare industry, major efforts to reduce patient and provider errors are also evident worldwide (de Souza and Adams 2009; Institute of Medicine 2009; Maguire, Vaynsky, and Shtrom 2009). For example, the 'doctors-teams-best' philosophy in the American Medical Association's (AMA) original Code of Medical Ethics is being replaced by a paradigm of doctor-patient partnership and actively engaged patients as essential team members.

- AMA Code of Medical Ethics (1957 original): The obligation of a patient to the participation of his physician should be prompt and implicit. He should never permit his own needs or opinions... to interfere his obligation to them.
- AMA Code of Medical Ethics, 2012–2015: Physician and patient are bound in a partnership that requires both individuals to take an active role in the healing process.

Recognizing that both the human factors discipline and healthcare domains are evolving, this article introduces a sociotechnical healthcare human factors model, SEIPS 2.0, which incorporates contemporary human factors concepts...

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**WORK SYSTEM**      **PROCESSES**      **OUTCOMES**

• Physical • Cognitive • Social/behavioral

Professional Work  
Collaborative Professional-Patient Work  
Patient Work

Desirable      Distal  
Patient      Professional      Organizational  
Proximal  
Undesirable

• Anticipated or unanticipated • Short- or long-lasting • Intermittent or regular

**ADAPTATION**

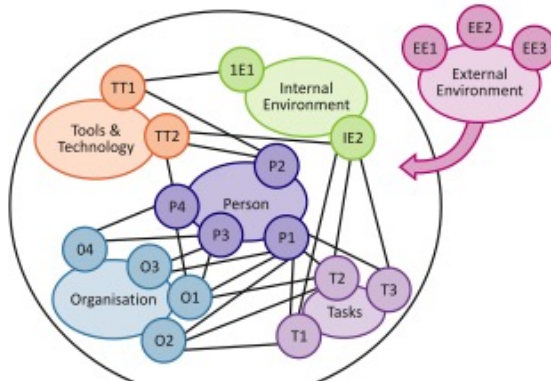
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8



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**External Environment**

- EE1 Economic impact of glove use
- EE2 Impact of clinical waste on climate change
- EE3 National policy

**Internal environment**

- IE1 Location of glove dispensers
- IE2 Location of alcohol handrub

**Tools & Technology**

- TT1 Gloves accessible at point of care
- TT2 Alcohol handrub available at point of care

**Organisation**

- O1 Agreed standard for use of gloves & alcohol handrub
- O2 Clear policy on use of gloves & alcohol handrub
- O3 Compliance with standards measured
- O4 Hazards of inappropriate glove use explicit

**Tasks**

- T1 Decision points for hand hygiene defined
- T2 Appropriate use of gloves defined
- T3 Use of gloves during contact precautions defined

**Person**

- P1 Staff trained & competent
- P2 Signposting for patients on appropriate glove use
- P3 Challenge of poor practice facilitated
- P4 Clinical team agree standards of practice

Wilson J. Bak A, & HP Loveday. (2017) Applying human factors and ergonomics to the misuse of nonsterile clinical gloves in acute care. *AJIC*. 45(7) 779 - 786

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**SOUNDING BOARD**

**Balancing "No Blame" with Accountability in Patient Safety**

Robert M. Wachter, M.D., and Peter J. Pronovost, M.D., Ph.D.

This year marks the 10th anniversary of the Institute of Medicine's report *To Err Is Human*,<sup>1</sup> the document that launched the modern patient safety movement. Although the movement has spawned myriad initiatives, its main thrust, drawn from studies of other high-risk industries that have impressive safety records, boils down to this: Most errors are committed by good, hardworking people trying to do the right thing. Therefore, the traditional focus on identifying who is at fault is a distraction. It is far more productive to identify error-prone situations and settings and to implement systems that prevent caregivers from committing errors, catch errors before they cause harm, or mitigate harm from errors that do reach patients.<sup>2,3</sup>

Most health care providers embraced the "no blame" model as a refreshing change from an error landscape previously dominated by a punitive system that was generally judged as punitive and arbitrary. And this shift has unquestionably borne fruit. For example, rather than trying to perfect doctors' handwriting and numeracy, computerized systems catch medication errors before they reach patients.<sup>4</sup> Implementing simple checklists markedly increases the use of evidence-based prevention strategies, leading to fewer surgical complications and bloodstream infections associated with central venous catheters.<sup>5,6</sup>

But beginning a few years ago, some patient safety health care leaders began to question the singular embrace of the "no blame" paradigm. Large, patient-safety pioneers and early proponents of systems thinking<sup>7</sup> described the need for more aggressive approaches to poorly performing physicians,<sup>8</sup> and the Joint Commission has made addressing the problem of disruptive caregivers a priority.<sup>9</sup> Goldstein identified the need to create accountability for failure to perform hand hygiene.<sup>10</sup> Rather than a "no blame" culture, others promoted a "just culture," which differentiates blamelessness from blameless acts.<sup>11,12</sup>

Many health care organizations (including our own) have recognized that a foundational focus on creating a blame-free culture carries its own safety risks. But despite this recognition, finding the appropriate balance has been elusive, and few organizations have implemented meaningful systems of accountability, particularly for physicians. In this article, we describe some of the barriers to physician accountability, examine patient-safety practices that are ready for an accountability approach, and suggest possible for the future to adhere to such practices. We focus on situations in which the actions for starting of individual physicians poses a clear risk to patients, rather than on the broader issues of clinical competence or disruptive behavior, issues that are addressed in the latter issues are referred to other sources.<sup>13,14</sup>

**"NO BLAME" VERSUS ACCOUNTABILITY**

A decade ago, rates of hand hygiene in most tertiary hospitals were abysmal, often below 20%. An attention began to focus on unacceptably high rates of health care-associated infections, most organizations needed low hand-hygiene rates as a systems problem.<sup>15</sup> Many launched "hand hygiene campaigns," accompanied by internal dissemination of hand-hygiene rates and admonitions by senior administrators to improve the rates. Sometimes accompanied by financial incentives, hand-gel dispensers were placed in or near every patient's room. A few institutions even brought in human-factors engineers to assess the overall hand-hygiene system and recommend process changes. To the degree that the failure to clean hands was due to flawed systems or provider ignorance, these actions made sense.

Despite these efforts, many hospitals continue to have hand-hygiene rates that range from 30 to 70%, and few have sustained rates over 80%. We have had the experience of asking frustrated hos-

**From Safety-I to Safety-II: A White Paper**

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DOI: 10.1056/NEJMp1711242

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# Current approach – Safety I



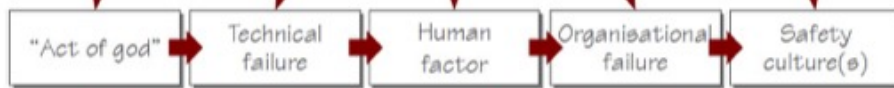
When something has happened, we try to find the cause.

Accidents, incidents, breakdowns, disruptions,



When the cause has been found, we try to eliminate it.

Causes represent the dominant socio-technical characteristics of current society.



But the understanding of how causes "work" has remained the same.

Resilience Engineering-Building a Culture of Resistance – Erik Hollnagel 2013

# Work-as-imagined



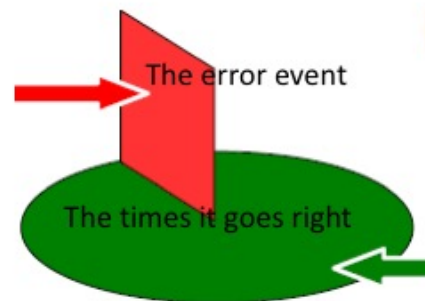
Professor Erik Hollnagel

## Work-as-done

- Safety II, defines safety as the ability to make things go right and not merely the absence of failures or adverse outcomes
  - Human Factors Ergonomics
  - Resilience Engineering
- Proactive Safety based on System Resilience
  - the intrinsic ability of a system to adjust its functioning prior to, during, or following changes and disturbances, so that it can sustain required operations under both expected and unexpected conditions.

## How do we do this?

Everyone actually doing the work knows it is only possible to work by continually adjusting what they do in a given situation.



## Work-as-done

- People **adapt and adjust** to actual demand and change their performance accordingly
- People **interpret** policies and procedures and apply them to match conditions
- People can **detect and correct** when something goes wrong or when it is about to go wrong and intervene to prevent

Suzette Woodward – Oxford Patient Safety Conference May 2017

## Look through a different lens

- Avoid treating failures (incidents) as unique, individual events, and see them as an expression of everyday performance variability.
  - Start by studying everyday performance
  - Find out what the every day adjustments are to help explain how things occasionally go wrong
  - What went right during the incident?
  - How do things usually go right?
  - Why do things sometimes go exceptionally well?



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**HAPPY BIRTHDAY IPS!**

The screenshot shows the homepage of the Infection Prevention Society (IPS) website. At the top left is the IPS logo and the text "Infection Prevention Society" and "www.ips.uk.net". To the right is a "Members Area Login | Join IPS" button and a search bar. Below the header is a navigation menu with buttons for Home, Education & Events, Professional Practice, News & Media, Membership, About IPS, Public / Patients, and Contact Us. The main banner features the text "Infection Prevention 2017 Manchester Central 18th - 20th September" and "@IPS\_Infection #IP2017" alongside a stylized illustration of the Manchester skyline including the Ferris wheel. Below the banner is a section titled "Join IPS and Enjoy Access To ..." with six circular icons and corresponding text:

- Influencing**: IPS has responded to the EPIC3 consultation. To read the response click above icon.
- Conference and Seminar Programmes**: For more information on the IPS Annual Conference, 'Infection Prevention 2017', Click on the icon above.
- Networking for Infection Prevention Professionals**: There is a local IPS branch near you. Why not get involved and meet likeminded colleagues
- FREE Access to the Journal of Infection Prevention**: All IPS members get free online access to the JIP. Details can be found by clicking the above icon
- IPS Twitter and Infection News Updates**: With over 1,000 followers you can keep up with the latest conversations here.
- Infection Prevention Best Practice**: The latest Quality Improvement tool is available for free download, click the icon above

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<a href="http://www.webbertraining.com/schedulepl.php">www.webbertraining.com/schedulepl.php</a>	
September 28, 2017	<a href="#">HOW TO PUBLISH IN THE JOURNALS AND WHY IT MATTERS</a> Speaker: <b>Prof. Elaine Larson</b> , Columbia University, Mailman School of Public Health
October 5, 2017	<a href="#">(FREE Teleclass)</a> <a href="#">INFECTION CONTROL GUIDELINES THAT DID NOT WORK AGAINST EBOLA</a> Speaker: <b>Prof. Bjørg Marit Andersen</b> , Oslo University Hospital
October 12, 2017	<a href="#">(FREE Teleclass)</a> <a href="#">STRENGTHENING IPAC STRUCTURES THROUGH EDUCATION IN LOW-INCOME OR MIDDLE-INCOME COUNTRIES</a> Speaker: <b>Prof. Shaheen Mehtar</b> , Infection Control Africa Network, and Stellenbosch University, Cape Town
October 26, 2017	<a href="#">INFECTION CONTROL IN PARAMEDIC SERVICES</a> Speaker: <b>Jennifer Amyotte</b> , City of Greater Sudbury Paramedic Services, Canada
	<a href="#">(FREE European Teleclass)</a> <a href="#">INFECTION PREVENTION CHALLENGES AMONG HOSPITALIZED CHILDREN</a>

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