

# Airborne Transmission and Precaution – Facts and Myths

Prof. W.H. Seto, Hong Kong

Broadcast live from the 2014 Healthcare Infection Society conference, Lyon, France



The 9th Healthcare Infection Society International Conference 2014 in association with the French Society for Hospital Hygiene  
16–18 November 2014, Lyon Convention Centre, France

**Airborne transmission and precaution – facts and myths**



WH Seto,  
HK, China

www.webbertraining.com November 17, 2014

## Edward Joseph Lister Lowbury (1913 - 2007)

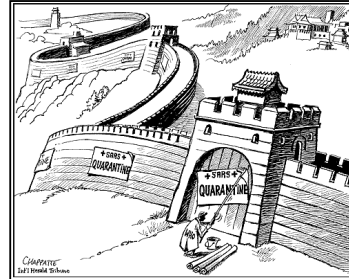


A pioneering and innovative English medical bacteriologist and pathologist and also a published poet.

### Acknowledgements:

Prof. Ben Cowling, School of Public Health,  
University of Hong Kong

Prof. Yugio Li, Dept. of Mechanical Engineering,  
University of Hong Kong



### Bacteria That Cause Airborne Nosocomial

#### Infections

- Group A Streptococcus
- Staph. aureus
- Neisseria meningitidis
- Bordetella pertussis
- MTB
- Acinetobacter
- Legionellae
- Clostridia
- Pseudomonas
- Nocardia

#### Viruses Implicated in Airborne Nosocomial Infections

- Rinoviruses
- Influenza and
- Parainfluenza viruses
- Respiratory Syncytial Virus
- Adenovirus
- Varicella Zoster Virus
- Measles
- Rubella
- Smallpox
- Certain enteroviruses

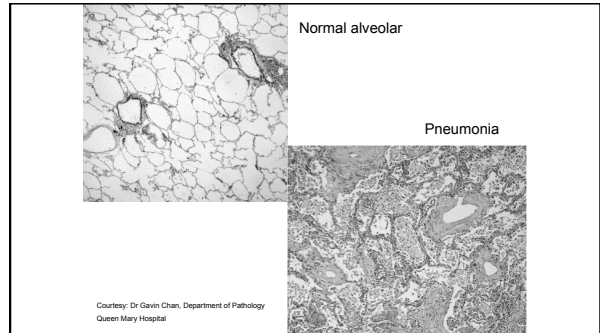
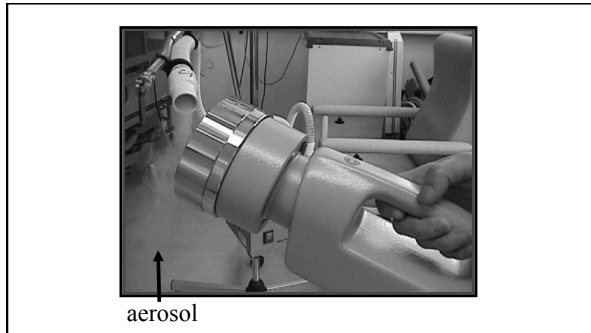
Adapted from Schaal, 1985

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**Recent classification for airborne transmission**

**Obligate airborne:** initiate solely through aerosols: TB

**Preferential airborne:** initiate through multiple routes but predominately by aerosols: Chicken pox and measles

**Opportunistic airborne:** typically through other routes but by aerosols in favorable conditions (as high-risk procedures such as intubation): Influenza and SARS

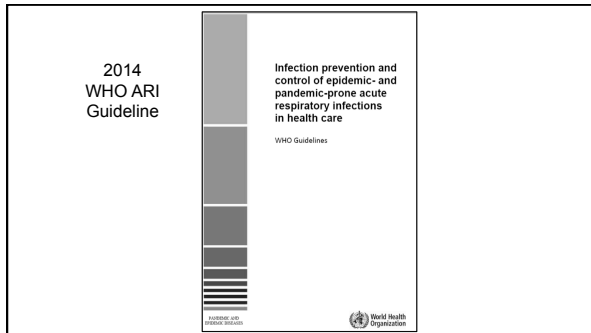


Table 1. IPC precautions for HCWs and caregivers providing care for patients with ARIs according to a sample of pathogens

| Precaution   | No pathogen identified or the cause of ARI is unclear (e.g. influenza-like illness or ARI of unknown cause) |                              |                               |   | Pathogen                       |                            |                            |                            |
|--|---|------------------------------|-------------------------------|---|--------------------------------|----------------------------|----------------------------|----------------------------|
|  | Bacterial ARI <sup>a</sup> (e.g. meningitis, pneumonia)   | Subclinical                  | Parainfluenza 2/4, Adenovirus | Influenza virus (e.g. seasonal influenza, H5N1, H7N9) | SARS                           | Measles                    | EBV                        | Other ARI <sup>b</sup>     |
| Hand hygiene <sup>c</sup>  | Yes   | Yes                          | Yes                           | Yes   | Yes                            | Yes                        | Yes                        | Yes                        |
| Gown   | Risk assessment <sup>d</sup>  | Risk assessment <sup>d</sup> | Risk assessment <sup>d</sup>  | Yes   | Yes                            | Yes                        | Yes                        | Yes                        |
| Glove <sup>e</sup>   | Risk assessment <sup>d</sup>  | Risk assessment <sup>d</sup> | Risk assessment <sup>d</sup>  | Yes   | Yes                            | Yes                        | Yes                        | Yes                        |
| Eye protection   | Risk assessment <sup>d</sup>  | Risk assessment <sup>d</sup> | Risk assessment <sup>d</sup>  | Yes   | Yes                            | Yes                        | Yes                        | Yes                        |
| Medical mask on HCWs and caregivers                              | Yes   | Risk assessment <sup>d</sup> | No                            | Yes   | Yes <sup>f</sup>               | Yes <sup>f</sup>           | Yes <sup>f</sup>           | Not routinely <sup>g</sup> |
| For room entry   | No  | No                           | Yes                           | No  | No                             | Not routinely <sup>g</sup> | Not routinely <sup>g</sup> | Yes                        |
| Particulate filter (at least 1 ft of length)                     | No  | No                           | Yes                           | No  | No                             | Not routinely <sup>g</sup> | Not routinely <sup>g</sup> | Yes                        |
| Particulate filter (for aerosol generating procedure)            | Yes   | Not routinely <sup>g</sup>   | Yes                           | Not routinely <sup>g</sup>                            | Yes                            | Yes                        | Yes                        | Yes                        |
| Medical mask on patient when outside isolation area <sup>h</sup> | Yes   | Yes                          | Yes                           | Yes   | Yes                            | Yes                        | Yes                        | Yes                        |
| Additional respiratory protection                                | Yes, if available <sup>i</sup>  | No                           | No                            | Yes, if available <sup>i</sup>                        | Yes, if available <sup>i</sup> | Yes                        | Yes                        | Not routinely <sup>g</sup> |
| Respiratory protection room <sup>j</sup>                         | No  | No                           | Yes                           | No  | No                             | Not routinely <sup>g</sup> | Not routinely <sup>g</sup> | Yes                        |
| Summary of IPC precautions for routine patient care              | Standard  | Standard                     | Standard                      | Standard  | Standard                       | Standard                   | Standard                   | Standard                   |
| Summary of IPC precautions for aerosol-generating procedure      | Standard  | Standard                     | Standard                      | Standard  | Standard                       | Standard                   | Standard                   | Standard                   |
| Summary of IPC precautions for high-risk procedure               | Standard  | Standard                     | Standard                      | Standard  | Standard                       | Standard                   | Standard                   | Standard                   |

<sup>a</sup> Bacterial ARI refers to common bacterial respiratory infections caused by organisms such as streptococci, pneumococci, meningococci, Haemophilus, Chlamydia, Legionella, and Mycoplasma.

<sup>b</sup> When a novel ARI is newly identified, the mode of transmission is usually unknown. Implement the highest available level of IPC precautions, until the situation and mode of transmission is clarified.

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| CDC Centers for Disease Control and Prevention<br>CDC 1607 Thompson Building, Atlanta, Georgia |        | <b>Transmission Based Precaution</b>                 |  |
|--|--------|--|--|
|  | Type * | Duration †   |  |
| Measles (rubella)  | A      | 4 days after onset of rash; DI in immune compromised |  |
| <b>Tuberculosis (<i>M. tuberculosis</i>)</b>   |        |  |  |
| Pulmonary or laryngeal disease, confirmed  | A      |  |  |
| Pulmonary or laryngeal disease, suspected  | A      |  |  |
| Varicella Zoster   | A,C    | Until lesions dry and crusted                        |  |

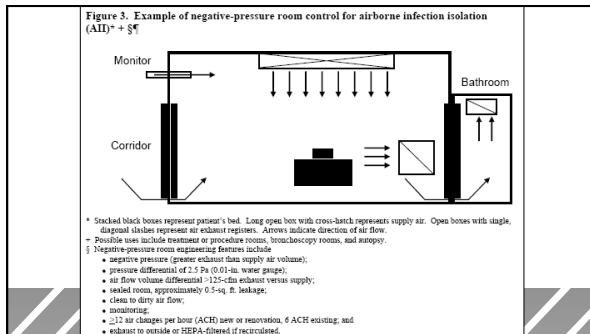
### Airborne Precaution

Isolation Room  
●

Single room - Negative Pressure  
Keep doors closed



N95



**Figure 3. Example of negative-pressure room control for airborne infection isolation (AII)<sup>†</sup> + §¶**

**Airborne infection isolation room (AII):**

- Single room or cohorting
- Negative pressure (-2.5 Pa)
- 12 air changes per hour for new renovations
- Exhaust air outside or recirculated by HEPA filters

- sealed room, approximately 0.5-sq. ft. leakage;
- close to dirty air flow;
- monitoring;
- ≥12 air changes per hour (ACH) new or renovation, 6 ACH existing; and
- exhaust to outside or HEPA-filtered if recirculated.

General consensus on the N95 Respirator to prevent airborne transmission

A tightly sealed respirator blocked 99.8% of total virus and 99.6% of infectious virus (n = 3).  
A tightly fitted (surgical) mask block 94.5% of the total virus and 94.8% of the total infectious virus.

Note: JD, Lindsley WG, Blachere FM, et al. Detection of influenza virus in cough aerosol generated in a simulated patient examination room. Clin Infect Dis 2012; 54 (1 June) 1569-1577

A. Are Most Respiratory Viral Infections Airborne?

Most studies done – Influenza and SARS

# Airborne Transmission and Precaution – Facts and Myths

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### Is Influenza Airborne?

#### Reviews

Clinical Trials Comparing N95 and Medical Masks

New Experimental Studies

#### Two major reviews

##### Transmission of influenza A in human beings

*Lancet Infect Dis* 2007; 7: 257-65

Gabrielle Brankston, Leah Gitterman, Zahra Hijri, Camille Lemieux, Michael Gardam

However, we are able to conclude that transmission occurs at close range rather than over long distances, suggesting that airborne transmission, as traditionally defined, is unlikely to be of significance in most clinical settings.

##### Review of Aerosol Transmission of Influenza A Virus

Emerging Infectious Diseases • www.cdc.gov/eid • Vol. 12, No. 11, November 2006

Raymond Tse et al

In principle, influenza viruses can be transmitted by 3 routes: aerosols, large droplets, and direct contact with secretions (or with fomites)

#### Transmission of influenza A in human beings

Brankston et al. *Lancet* ID 2007(7):257-65

More a systemic review

Search of 2012 citations

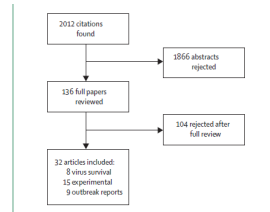


Figure: Flow diagram of the process and results of article selection

#### Artificial generated aerosol can infect man and animals

Artificial aerosols: <10% are larger 8 μm  
Natural coughing: 99.9% are larger than 8 μm

"We question whether these studies are relevant to natural route of human transmission"

"No published evidence of human infection resulting from the ambient air"

**Alaskan Airline: Non functional ventilation system 72% infected**  
(*Am J Epidemiol* 1979;110:1-6) Free movement of passengers

**Naval base aircraft** (*Am J Epidemiol* 1989;129:341-48)

Kontz reported outbreaks (56%) in functional ventilation planes

Influenza lower with UV lights in VA hospital (*Am Rev Resp Dis* 1961;83:36)

Infection related to ventilation systems in 4 buildings  
(*J Am Ger* 1996;18:811)


- Many confounders not accounted:  
eg. number of index patients, bed layout, length of stay, hand hygiene, immunization status.
- One study even confirmed that lowest rate has more space allocated
- Air exchange rate is not reported
- 2<sup>nd</sup> study even reported equal rates in next season.

Clinical Trials Comparing N95 and Medical Masks.

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[http://www.who.int/csr/resources/publications/cp150\\_2009\\_1612\\_ipc\\_interim\\_guidance\\_h1n1.pdf](http://www.who.int/csr/resources/publications/cp150_2009_1612_ipc_interim_guidance_h1n1.pdf)



World Health Organization

Infection prevention and control during health care for confirmed, probable, or suspected cases of pandemic (H1N1) 2009 virus infection and influenza-like illnesses


Updated guidance  
**28 September 2009**

I. Background

Since the first recorded cases in April 2009, the pandemic influenza A (H1N1) 2009 virus has spread rapidly across the globe resulting in sustained community transmission worldwide. Health-care facilities continue to face the challenge of providing care for patients infected with the pandemic virus. In order to minimize transmission during health care, it is critical that health-care workers (HCWs), other care-givers, including attendants, cleaners, and others, follow appropriate infection prevention and control (IPC) precautions. Although some of these interventions are already well established by numerous other control of acute respiratory

This guidance replaces guidance documents issued on 29 April and 25 June 2009 and remains valid until **30 June 2010**.

- WHO guidance for infection prevention and control for H1N1
- III, 1.1 - Standard & Droplet Precautions should always be applied
  - III,1.2 - performing aerosol-generating procedures wear a particulate respirator
  - III, 4. Collection of laboratory specimens
    - Upper respiratory tract (above larynx)
      - Standard and Droplet Precaution
    - Lower respiratory tract specimens
      - Aerosol-generating procedures IPC measures



Centers for Disease Control and Prevention  
CDC 1607 Longwood Medical Campus

Recommendation for 2009 H1N1 Pandemic

"At the start of the 2009 outbreak, there was uncertainty regarding the transmission dynamics of the novel H1N1 virus. While seasonal influenza is spread by large respiratory droplets, a concern at the onset of any potential influenza pandemic is whether the pathogen will have a different dynamics or methods of spread."

**13<sup>th</sup> May – CDC recommends N95 to be used in all situations**



But there is a study not considered by IOM showing that surgical masks is as effective as N95.....


**Surgical Mask vs N95 Respirator for Preventing Influenza Among Health Care Workers: A Randomized Trial.**

Mark Loeb et al. JAMA., 2009;302(17), October 1 online

A randomized controlled trial of 446 nurses in 8 tertiary care hospitals – Ontario

|                      | Surgical masks | N95        |
|----------------------|----------------|------------|
| n =                  | 225            | 221        |
| Influenza infected = | 50 (23.6%)     | 48 (22.9%) |

p = 0.086 (meet criteria for non-inferiority)



Centers for Disease Control and Prevention

HICPIC advisory committee  
 23rd July 2009 to vote on the latest recommendation  
([http://www.cdc.gov/ncidod/dhqp/hicpac\\_transcript-07-23.html](http://www.cdc.gov/ncidod/dhqp/hicpac_transcript-07-23.html)).

**"endorse the use of surgical masks for the routine care of patients with confirmed or suspected, novel influenza A (H1N1)"**

**"It is appropriate at this time to recommend the use of N95 or higher respiratory protection for procedures that are likely to generate small particle aerosols."** The procedures are then listed to include bronchoscopy, intubation under controlled or emergent situations, cardiopulmonary resuscitation, open airway suctioning and airway induction."

Comment on Blachere et al: PCR positive is not the same as culture positive

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**INSTITUTE OF MEDICINE**  
OF THE NATIONAL ACADEMIES Advising the nation • Improving health

1<sup>st</sup> September 2009

**Institute of Medicine**

- HCWs (including non-hospital settings) in close contact with individuals with nH1N1 or ILIs should use fit-tested N95 respirators.
- Endorse current CDC guidelines.

Page 17 : "confirm the presence of airborne influenza virus in various clinic locations"  
Blachere et al (CID 2009 48 (4):438)

**Also based on the Macintyre study done in China**

**But Macintyre group retracted their study**

http://abcnews.go.com/Health/FluNews/cdc-flu-mask-decision-based-flawed-study-authors/story?id=996885&page=1

**A cluster randomized clinical trial comparing fit-tested and non-fit-tested N95 respirators to medical masks to prevent respiratory virus infection in health care workers**

[www.influenzajournal.com](http://www.influenzajournal.com)

Accepted 3 December 2010; Published Online 27 January 2011.

Chandini Raina Macintyre,<sup>1</sup> Quanyi Wang,<sup>2</sup> Simon Cauchemez,<sup>1</sup> Holly Seale,<sup>3</sup> Dominic E. Dwyer,<sup>4</sup> Peng Yang,<sup>5</sup> Weixian Shi,<sup>6</sup> Zhanhai Gao,<sup>7</sup> Xinghua Pang,<sup>8</sup> Yi Zhang,<sup>9</sup> Xiaoli Wang,<sup>3</sup> Wei Duan,<sup>3</sup> Bayzidur Rahman,<sup>1</sup> Neil Ferguson<sup>1</sup>

**Table 2. Intention-to-treat analysis using random effect logistic regression analysis**

| Arms               | CRI          |                  | IU*          |                  | Laboratory-confirmed virus** |                  | Influenza   |                  |
|--------------------|--------------|------------------|--------------|------------------|------------------------------|------------------|-------------|------------------|
|                    | N (%)        | OR (95% CI)***   | N (%)        | OR (95% CI)***   | N (%)                        | OR (95% CI)***   | N (%)       | OR (95% CI)***   |
| N95 fit-tested     | 21/461 (4.6) | 0.76 (0.27–2.13) | 1/461 (0.2)  | 0.35 (0.04–3.42) | 8/461 (1.7)                  | 0.69 (0.24–2.03) | 3/461 (0.7) | 0.64 (0.15–2.68) |
| N95 non-fit-tested | 16/488 (3.3) | 0.48 (0.24–0.98) | 2/488 (0.4)  | 0.65 (0.11–4.03) | 5/488 (1.1)                  | 0.79 (0.32–1.22) | 0/488 (0)   | 0                |
| Medical mask       | 37/949 (3.9) | 0.62 (0.28–1.33) | 3/949 (0.3)  | 0.52 (0.10–2.57) | 13/949 (1.4)                 | 0.54 (0.21–1.36) | 5/949 (0.5) | 0.31 (0.07–1.32) |
| Medical mask       | 33/492 (6.7) | 3/492 (0.6)      | 13/492 (2.6) | 5/492 (1)        |                              |                  |             |                  |

\*IU: definition using fever >38 – note, this is less sensitive than laboratory-confirmed infection.  
\*\*Any respiratory virus.  
\*\*\*Odds Ratio – Medical group as reference. A random effect logistic model accounting for clustering was used to compute odds ratios.  
†P<sub>adj</sub>: P value adjusted for clustering of hospitals, using random effect logistic regression model.  
‡CRI, Clinical respiratory illness; IU, influenza-like illness.

**A Randomized Clinical Trial of Three Options for N95 Respirators and Medical Masks in Health Workers**

C. Raina Macintyre<sup>1</sup>, Quanyi Wang<sup>2</sup>, Holly Seale<sup>1</sup>, Peng Yang<sup>3</sup>, Weixian Shi<sup>4</sup>, Zhanhai Gao<sup>5</sup>, Bayzidur Rahman<sup>1</sup>, Yi Zhang<sup>6</sup>, Xiaoli Wang<sup>3</sup>, Anthony T. Newall<sup>1</sup>, Anita Heywood<sup>7</sup>, and Dominic E. Dwyer<sup>8</sup>  
Am J Respir Crit Care Med Vol 187, Iss. 9, pp 960–966, May 1, 2013

**TABLE 2. NUMBER AND PROPORTION OF PARTICIPANTS REPORTING PRIMARY OUTCOMES, BY RANDOMIZATION ARM AND INTENTION-TO-TREAT ANALYSIS**

| Variable                             | Medical Mask Arm<br>N (%) | Targeted N95 Arm |                            | N95 Arm        |                            |
|--------------------------------------|---------------------------|------------------|----------------------------|----------------|----------------------------|
|                                      |                           | N (%)            | P Value (ICC) <sup>a</sup> | N (%)          | P Value (ICC) <sup>b</sup> |
| CRI                                  | 98/572 (17.1)             | 61/516 (11.8)    | 0.280 (0.1166)             | 42/581 (7.2)   | 0.0238 (0.1194)            |
| ILI                                  | 4/572 (0.7)               | 2/516 (0.4)      | 0.4882 (<0.0001)           | 6/581 (1.0)    | 0.5416 (<0.001)            |
| Virus                                | 193/572 (33.3)            | 117/516 (22.3)   | 0.985 (0.0206)             | 115/581 (19.9) | 0.4394 (0.0311)            |
| Bacteria + CRI                       | 84/572 (14.7)             | 52/516 (10.1)    | 0.27 (0.091)               | 36/581 (6.2)   | 0.019 (0.086)              |
| Bacteria (any symptoms) <sup>c</sup> | 120/572 (21.0)            | 75/516 (14.5)    | 0.2448 (0.1279)            | 52/581 (9.0)   | 0.0163 (0.1336)            |
| Virus or bacteria + CRI              | 91/572 (15.9)             | 54/516 (10.8)    | 0.260 (0.100)              | 39/581 (6.7)   | 0.022 (0.102)              |
| Virus or bacteria (any symptoms)     | 123/572 (21.5)            | 77/516 (14.9)    | 0.2484 (0.1339)            | 52/581 (9.0)   | 0.016 (0.1442)             |
| Influenza A or B + CRI               | 1/572 (0.2)               | 2/516 (0.4)      | 0.5898 (0.145)             | 3/581 (0.5)    | 0.3241 (<0.001)            |

**Conclusion:** Continuous use of N95 respirator was more efficacious against CRI than intermittent use of N95 or medical masks. Most targeted N95 respirator use. Continuous use of N95s resulted in significantly lower rates of bacterial colonization, a novel finding that

Large ongoing trial however conducted by John Hopkins which is yet to be published

(<http://clinicaltrials.gov/ct2/show/NCT01249625>).

**Clinical and Nonclinical Health Care Workers Faced a Similar Risk of Acquiring 2009 Pandemic H1N1 Infection**

Wing-Hong Seto,<sup>1</sup> Benjamin J. Cowling,<sup>2</sup> Hung-Suet Lam,<sup>1</sup> Patricia T. Y. Cheung,<sup>1</sup> Mei-Lan Yu,<sup>1</sup> and Didier Pittet<sup>4</sup>

CID 2011:53 (1 August) • BRIEF REPORT

**Comparison of Non-clinical and Clinical Staff Infected by 2009 H1N1**

|   | Non-clinical | Clinical    | Statistical significance (p) |
|---|--------------|-------------|------------------------------|
| Total number of staff (n)                   | 18759        | 40511       |                              |
| <b>Number infected</b>                      |              |             | 0.82                         |
| A. During mandatory reporting for all staff | 119 (0.63%)  | 249 (0.62%) | RR: 0.98 (95% CI 0.78–1.2)   |
| B. Data during the entire pandemic period   | NA           | 1039 (2.6%) |                              |

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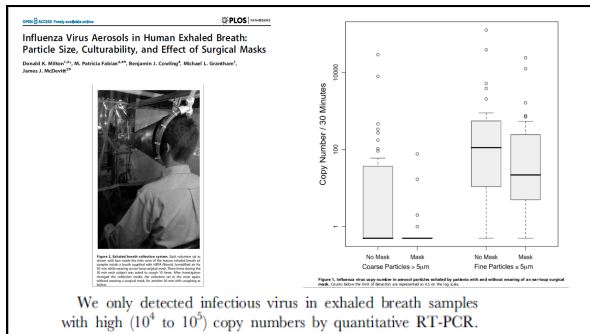
### New Experimental Studies.

Experimental studies in which only PCR was used in diagnosis could not be considered conclusive because it would not be possible to ascertain whether such particles had viable virus that could result in transmission.

Must demonstrate both production of infectious virus and inoculation of live viruses on to patients

### Presence of viable viral aerosols in the exhaled breathe

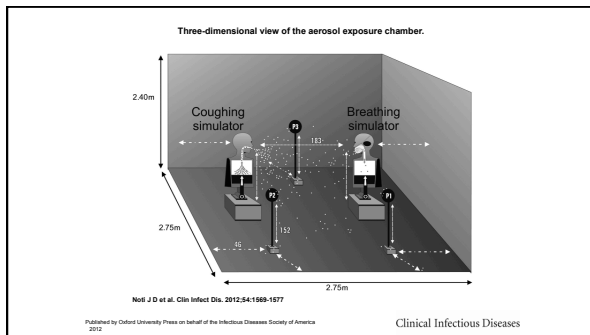
1. Milton KD, Fabian P, Cowling JB, et al: Influenza virus aerosols in human exhaled breath: particle size, culturability and effect of surgical mask. PLOS Pathogen March 2013 Vol 9, Iss 3 e1003205
2. Fabian P, McDevitt, DeHaan HW, et al: Influenza virus in human exhaled breath: an observational study. PLOS ONE July 2008, Vol 3, Iss 7 e2691



There were at least two studies showing that viable virus may be transmitted to the host

1. Noti JD, Lindsley WG, Blachere FM, et al: Detection of influenza virus in cough aerosol generated in a simulated patient examination room. Clin Infect Dis 2012; 54 (1 June) 1569-1577
2. Bischoff EW, Reid T, Russell BG, Peters T: Transocular entry of seasonal influenza-attenuated virus aerosol and the efficacy of N95 respirators, surgical masks and eye protection in human. JID 2011;204 (15 July) 193-199

Sources were by artificially generated aerosols by simulators - difficult to be certain whether the situation was similar in real life.



Finally a study - the sources were naturally infected influenza volunteers. Claims to be the first "**end-point host-exposure and sampling study**" where special manikins were exposed to these volunteers.

Tang J, Gao CX, Cowling BJ, et al: Absence of detectable influenza RNA transmitted via aerosol during various human respiratory activities – experiments from Singapore and Hong kong. PLOS ONE September 2014 Vol 9, Iss 9 e107338 1-9

#### Methods

The two studies had an identical aim, to test ... transmission of influenza from a naturally influenza-infected human to a life-like human manikin 'recipient' through real-life respiratory activities.

The HK study used a shop display manikin, customized for 'mouth-inhaling', to examine the quantity of influenza virus inhaled ... This study only examined the inhalation phase of a potential recipient.

The Singapore study used a commercial thermal, breathing manikin with a full breathing cycle to quantify the amount of influenza virus landing on facial skin sites.

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### Results

No influenza RNA was detected from any of these swabs with either team's in-house diagnostic influenza assays.

Table 1. Results for the Hong Kong experiments (n = 9).

| Subject code no. | Influenza A/B | Age (yrs) | Sex (M/F) | Days post-onset of illness | Air sampling method         | Test distance (m) | Patient source activities                  | Influenza RNA detected in filter/canister (ng/mL) | Influenza RNA copies in source diagnostic swab |
|------------------|---------------|-----------|-----------|----------------------------|-----------------------------|-------------------|--|---|--|
| 0002             | A             | 27        | M         | 3                          | PFE filter + SAC BioSampler | 0.1               | Cough 1-20s, Cough 10 times                | None  | 3.52 × 10 <sup>7</sup>                         |
| 0140             | A             | 42        | M         | 3                          | PFE filter + SAC BioSampler | 0.1               | Cough 1-20s, Cough 10 times                | None  | 1.38 × 10 <sup>7</sup>                         |
| 0170             | A             | 14        | F         | 2                          | PFE filter + SAC BioSampler | 0.1               | Brush T-shirt, Cough 1-20s, Cough 20 times | None  | 1.67 × 10 <sup>7</sup>                         |
| 0100             | A             | 17        | F         | 3                          | PFE filter + SAC BioSampler | 0.1               | Talk 10 min, Cough 1-100, Cough 20 times   | None  | 4.18 × 10 <sup>7</sup>                         |
| 0270             | A             | 22        | F         | 2                          | PFE filter + SAC BioSampler | 0.1               | Talk 10 min, Cough 1-100, Cough 20 times   | None  | 8.67 × 10 <sup>7</sup>                         |
| 0100             | A             | 49        | F         | 3                          | PFE filter + SAC BioSampler | 0.1               | Talk 10 min, Cough 1-100, Cough 20 times   | None  | 7.60 × 10 <sup>7</sup>                         |
| 0402             | A             | 37        | F         | 2                          | PFE filter + SAC BioSampler | 0.1               | Talk 10 min, Cough 1-100, Cough 20 times   | None  | 3.01 × 10 <sup>7</sup>                         |
| 0100             | A             | 42        | F         | 2                          | SAC BioSampler              | 0.1, 0.5          | Talk 10 min, Cough 1-100, Cough 20 times   | None  | 5.58 × 10 <sup>7</sup>                         |
| 0203             | B             | not given | M         | 3                          | SAC BioSampler              | 0.1, 0.5          | Talk 10 min, Cough 1-100, Cough 20 times   | None  | 3.70 × 10 <sup>7</sup>                         |

doi:10.1371/journal.pone.0107338.t001  
Tang JW, Gao CX, Cowling BJ, Koh GC, et al. (2014) Absence of Detectable Influenza RNA Transmitted via Aerosol during Various Human Respiratory Activities – Experiments from Singapore and Hong Kong. PLOS ONE 9(9): e107338. doi:10.1371/journal.pone.0107338  
http://www.plosone.org/article/info:doi/10.1371/journal.pone.0107338



Table 2. Results for Singaporean experiments (n = 6).

| Subject code no. | Influenza A/analyte or B | Age (yrs) | Sex (M/F) | Days post-onset of illness | *Test distance (m) - see footnote                 | *Patient source activities - see footnote | Influenza RNA detected in manikin facial swabs (ng/mL) | Influenza RNA copies in source diagnostic swab |
|------------------|--------------------------|-----------|-----------|----------------------------|---|---|--|--|
| 1                | A/N3                     | 22        | M         | 3                          | 3.70m   | See                                       | None   | 1.29 × 10 <sup>7</sup>                         |
| 2                | A/N3/pdm                 | 22        | M         | 2                          | 0.5/1.0/1.5/1.0/0.1 for additional close-up cough | Footstep*                                 | None   | 2.88 × 10 <sup>7</sup>                         |
| 3                | B                        | 23        | F         | 6                          | 0.11  |   | None   | 2.16 × 10 <sup>7</sup>                         |
| 4                | A/N3                     | 25        | M         | 2                          |   |   | None   | 3.03 × 10 <sup>7</sup>                         |
| 5                | B                        | 21        | M         | 1                          |   |   | None   | 4.57 × 10 <sup>7</sup>                         |
| 6                | B                        | 50        | F         | 3                          |   |   | None   | 6.76 × 10 <sup>7</sup>                         |

\*0.1 m and 1 m.  
†Initial breathing for 20 seconds, mouth breathing (20 s) counting slowly from one to ten in English (83 s), counting slowly from one to ten in a second language (e.g. Mandarin, German, 43 s), laughing (10 s) and coughing (10 s). Coughing was performed at both far (about 1-3 m) and near (0-1 m) distances from the manikin's face.  
doi:10.1371/journal.pone.0107338.t002

“The outcomes of these two studies are presented together due to the similar and largely unexpected results”

Tang JW, Gao CX, Cowling BJ, Koh GC, et al. (2014) Absence of Detectable Influenza RNA Transmitted via Aerosol during Various Human Respiratory Activities – Experiments from Singapore and Hong Kong. PLOS ONE 9(9): e107338. doi:10.1371/journal.pone.0107338  
http://www.plosone.org/article/info:doi/10.1371/journal.pone.0107338



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How far droplets can move in indoor environments – revisiting the Wells evaporation-falling curve

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<sup>1</sup>Department of Mechanical Engineering, The University of Hong Kong, Hong Kong, China, <sup>2</sup>Department of Microbiology, The University of Hong Kong, Hong Kong, China, <sup>3</sup>Department of Microbiology, Queen Mary Hospital, Hong Kong, China

Submitted by

LIU, Li

For the Degree of Doctor of Philosophy  
Department of Mechanical Engineering  
at The University of Hong Kong  
in July 2011

Expiratory droplet exposure between individuals in a ventilated room

Majority of droplets are from 10-100 μm.

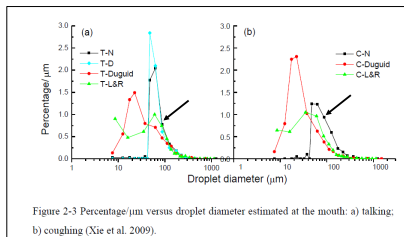


Figure 2-3 Percentage/μm versus droplet diameter estimated at the mouth: a) talking; b) coughing (Xie et al. 2009).

N = Nicas et al (2005), D = Morawska (2006), Duguid (1946), L&R = Loudon and Rpberts (1967)

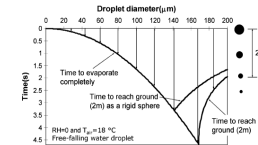


Fig. 1 The Wells evaporation-falling curve of droplets (reproduced and modified from Wells (1934))

depending on the size of the infected droplet. According to Wells (1934), droplet infection is transmitted by droplets larger than 100 μm in diameter, which rapidly settle out of the air by gravity, with the infective range being within a short distance of the source. Airborne infection applies to dried-out infectious droplet nuclei derived directly from droplets less than 100 μm, which remain suspended in the air for a long time and could

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# Airborne Transmission and Precaution – Facts and Myths

## Prof. W.H. Seto, Hong Kong

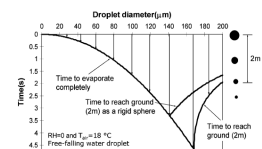
Broadcast live from the 2014 Healthcare Infection Society conference, Lyon, France

**Influenza Virus Aerosols in Human Exhaled Breath: Particle Size, Culturability, and Effect of Surgical Masks**  
 Donald K. Miller<sup>1,2\*</sup>, M. Patricia Fabian<sup>1,2,3</sup>, Benjamin J. Cowling<sup>4</sup>, Michael L. Greenberg<sup>5</sup>, James J. McDevitt<sup>6\*</sup>



We only detected infectious virus in exhaled breath samples with high ( $10^4$  to  $10^5$ ) copy numbers by quantitative RT-PCR.

It is not yet known whether the low recovery of infectious virus (despite high copy numbers of viral RNA) represents technical difficulties in sampling and culturing exhaled breath samples or whether the vast majority of the virus exhaled by influenza A patients is actually non-infectious.



According to Wells (1934), droplet infection is transmitted by droplets larger than  $100\ \mu\text{m}$  in diameter, which rapidly settle out of the air by gravity, with the infective range being within a short distance of the source. Airborne infection applies to dried-out infectious droplet nuclei derived directly from droplets less than  $100\ \mu\text{m}$ , which remain suspended in the air for a long time and could

Our investigation revealed that his evaporation model was an extremely simple one, and the curve was probably

**Expiratory droplet exposure between individuals in a ventilated room**

Submitted by  
L.H. Li

For the Degree of Doctor of Philosophy  
Department of Mechanical Engineering  
at The University of Hong Kong  
in July 2011

**Factors affecting droplets evaporation:**  
initial size, composition, humidity, temperature velocity, exhalation airflow, turbulence and ambience airflow.

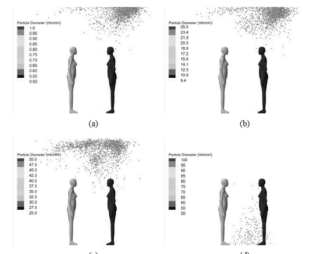


Figure 6-5 The predicted exposure of the susceptible manikin versus initial diameters of expiratory droplets (a)  $1\ \mu\text{m}$ , (b)  $25\ \mu\text{m}$ , (c)  $50\ \mu\text{m}$ , (d)  $100\ \mu\text{m}$ .

**Expiratory droplet exposure between individuals in a ventilated room**

Submitted by  
L.H. Li

**Factors affecting droplets evaporation: initial size, composition, humidity, temperature, velocity, exhalation airflow, turbulence and ambience airflow.**

**CHAPTER 7 CONCLUSIONS pp 152**

The inhalation of the droplets and droplet nuclei and deposition of the droplets and droplet nuclei on the body surface of the susceptible person were investigated at a separation distances of 0.5, 1.0, 1.5 and 3.0 m. For each breath from the source person, 1600 droplets were released. Three and nine droplet nuclei were inhaled by the susceptible person at a mutual distance of 0.5 and 1.0 m, respectively. No droplet nuclei were inhaled at 1.5 and 3.0 m.

Can Influenza be transmitted by air?  
.....the risk is probably low

**A. Are Most Respiratory Viral Infections Airborne?**

Most studies done – Influenza and SARS

# Airborne Transmission and Precaution – Facts and Myths

Prof. W.H. Seto, Hong Kong

## Broadcast live from the 2014 Healthcare Infection Society conference, Lyon, France

RESEARCH LETTERS

Research letters

Is SARS airborne?

Effectiveness of precautions against droplets and contact in prevention of nosocomial transmission of severe acute respiratory syndrome (SARS)

W.H. Seto, D. Tang, R.W.H. Yung, T.Y. Cheung, T.K. Ng, M.Ho, L.M.Ho, J.S.M. Peiris, and Advisors of Expert SARS group of Hospital Authority\*

\*Members listed at end of report

We did a case-control study in five Hong Kong hospitals, with 241 nosocomial and 13 infected staff with documented exposures to 11 index patients with severe acute respiratory syndrome (SARS) during palliative care. All participants were surveyed about use of mask, gloves, gowns, and handwashing, as recommended under droplets and contact precautions when caring for index patients with SARS. 69 staff who reported use of all four measures were not infected, whereas all infected staff had omitted at least one measure (p<0.024). Fewer staff who wore masks (p=0.001), gloves (p=0.006), and washed their hands (p=0.047) became infected compared with those who didn't, but stepwise logistic regression was significant only for masks (p=0.011). Practice of droplets and contact precautions was adequate in significantly reducing the risk of infection after exposures to patients with SARS. The protective role of the mask suggests that in hospital, infection is transmitted by droplets.

SARS 2-7 days after exposure, with no exposure to cases outside the hospital.

For this study, index patients were selected only when there was documented clustering, indicating recent spread of infection. We could identify infected staff because since early February, notification of staff with SARS was mandatory in hospital-outlet hospitals. We tested sputum taken from index patients and infected hospital staff during the acute phase of the infection and during convalescence for antibodies to the corona-like virus associated with SARS using an indirect immunofluorescence test.

We excluded other hospital that had a large nosocomial outbreak because a drug nebulizer was used on an index patient with SARS for longer than 10 days. Droplets precautions have never been recognized as an effective infection control measure for such aerosol-generating

2014 WHO ARI Guideline

Infection prevention and control of epidemic- and pandemic-prone acute respiratory infections in health care

WHO Guidelines




Table 1. IPC precautions for HCWs and caregivers providing care for patients with ARIs according to a sample of pathogens

| Precaution                                   | Respiratory viruses (e.g. influenza A and B, SARS, MERS-CoV) | Bacterial meningitis (e.g. pneumococcus, meningococcus) | Respiratory viruses (e.g. influenza A and B, SARS, MERS-CoV) | Respiratory viruses (e.g. influenza A and B, SARS, MERS-CoV) | SARS                         | Measles  |
|--|--|---|--|--|------------------------------|----------|
| Hand hygiene <sup>a</sup>                    | Yes  | Yes   | Yes  | Yes  | Yes                          | Yes      |
| Gloves                                       | Risk assessment <sup>b</sup>                                 | Risk assessment <sup>b</sup>                            | Risk assessment <sup>b</sup>                                 | Yes  | Risk assessment <sup>b</sup> | Yes      |
| Goggles <sup>c</sup>                         | Risk assessment <sup>b</sup>                                 | Risk assessment <sup>b</sup>                            | Risk assessment <sup>b</sup>                                 | Yes  | Risk assessment <sup>b</sup> | Yes      |
| Eye protection                               | Risk assessment <sup>b</sup>                                 | Risk assessment <sup>b</sup>                            | Risk assessment <sup>b</sup>                                 | Yes  | Risk assessment <sup>b</sup> | Yes      |
| Respirator (use as follows: and changes)     | Risk assessment <sup>b</sup>                                 | Risk assessment <sup>b</sup>                            | Yes  | Yes  | Yes                          | Yes      |
| Particulate masks (FFP2 or FFP3)             | No   | No  | Yes  | No   | Yes                          | Yes      |
| Particulate masks (FFP1 or FFP0)             | No   | No  | Yes  | No   | Yes                          | Yes      |
| Medical face shields                         | Yes  | Not routinely <sup>d</sup>                              | Yes  | Not routinely <sup>d</sup>                                   | Yes                          | Yes      |
| Medical face shields                         | Yes  | Not routinely <sup>d</sup>                              | Yes  | Not routinely <sup>d</sup>                                   | Yes                          | Yes      |
| Respirator (use as follows: and changes)     | Yes, if available <sup>e</sup>                               | No  | Yes, if available <sup>e</sup>                               | Yes  | Yes                          | Yes      |
| Medical face shields                         | No   | No  | Yes  | No   | Yes                          | Yes      |
| Additional precaution <sup>f</sup>           | No   | No  | Yes, if available <sup>e</sup>                               | No   | Yes                          | Yes      |
| Summary of IPC precautions for each pathogen | Standard   | Standard  | Standard   | Standard   | Standard                     | Standard |
| Additional precaution <sup>f</sup>           | -  | -   | Standard   | Standard   | Standard                     | Standard |
| Additional precaution <sup>f</sup>           | -  | -   | Standard   | Standard   | Standard                     | Standard |
| Additional precaution <sup>f</sup>           | -  | -   | Standard   | Standard   | Standard                     | Standard |

a. Hand hygiene refers to the use of soap and water, or alcohol-based hand rub.

b. Risk assessment refers to the use of personal protective equipment (PPE) based on the risk of exposure to the pathogen.

c. Goggles refer to eye protection that is worn over the eyes and the entire front of the face.

d. Not routinely refers to situations where the use of the measure is not routinely recommended.

e. If available refers to situations where the measure is recommended if it is available.

f. Additional precaution refers to measures that are recommended in addition to the standard precautions.

Ten WHO Recommendations for Infection control and Prevention of Acute Respiratory Viral Infections

Seto WH, Conly JM, et al: Infection prevention and control measures for acute respiratory infections in healthcare settings: an update. East Mediterr Health J. 2013;19 Suppl 1:S39-47. Review.

| Recommendations  | Ranking     |
|--|-------------|
| 1. Use clinical triage for early identification of patients with ARI to prevent the transmission of ARI pathogens to HCWs and other patients   | Strong      |
| 2. Respiratory hygiene (i.e. covering the mouth and nose during coughing or sneezing with a medical mask, tissue, or a sleeve or elbow) followed by hand hygiene should be used in persons with ARI to reduce the dispersal of respiratory secretions containing potentially infectious particles  | Strong      |
| 3. Spatial separation (distance of at least 1 meter) between hosts should be maintained to reduce the transmission of ARI pathogens from one patient to another. Spatial separation (distance of at least 1 meter) between the patient and the HCW without the use of PPE should be maintained to reduce the transmission of ARI pathogens to the HCW  | Strong      |
| 4. Clustering the placement of patients infected or colonized with the same pathogen in the same designated unit, zone or ward (with or without the same staff), or special measures, the placement of patients with the same respiratory diagnosis (consider epidemiological and clinical information) in the same designated unit, zone or ward (with or without the same staff) within a health care setting, could be used in certain settings for the implementation of isolation precautions for patients with ARI to reduce transmission of ARI pathogens to HCWs and other patients  | Conditional |
| 5. According to the risk assessment (according to the prevalence and expected pathogen), PPE may be needed when providing care to patients presenting with ARI symptoms and may include an appropriate combination of the following: medical mask (surgical or procedure mask), gloves, long-sleeved gowns, and eye protection (goggles, face shields)   | Strong      |
| 6. Personal protective equipment (PPE) including the use of gloves, long-sleeved gowns, eye protection (goggles or face shields) and facial mask (surgical/procedure mask or particulate respirators) should be used by HCWs during aerosol-generating procedures that have been consistently associated with an increased risk of transmission of ARI pathogens <sup>1</sup> . The available evidence supports performing or being exposed to endotracheal intubation either by itself or combined with other procedures (e.g. cardiopulmonary resuscitation, bronchoscopy) was consistently associated with increased risk of transmission | Conditional |
| 7. Adequately ventilated single rooms should be used when performing aerosol-generating procedures that have been consistently associated with increased risk of ARI transmission  | Strong      |
| 8. Vaccination for influenza should be used for HCWs caring for patients at higher risk of severe or complicated illness from influenza to reduce influenza illness & mortality among these patients   | Strong      |
| 9. Consideration for the use of medical masks (surgical/procedure mask) should be based on the duration of symptoms (illness according to the pathogen and patient information) to reduce the transmission of ARI pathogens to HCWs and other patients. Non-sterile Precautions should always be used. There is no evidence to support the routine application of laboratory coats for the decontamination of duration of IPC precautions  | Conditional |

B. Can we define the aerosols generating procedures?

Recommendations

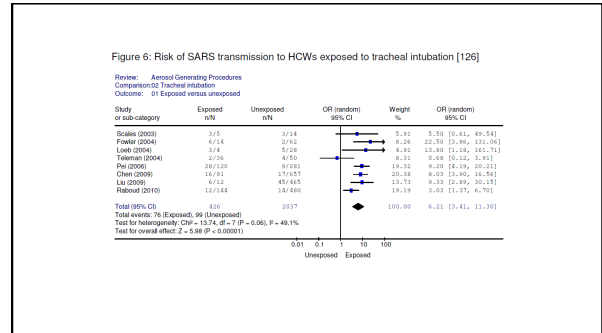
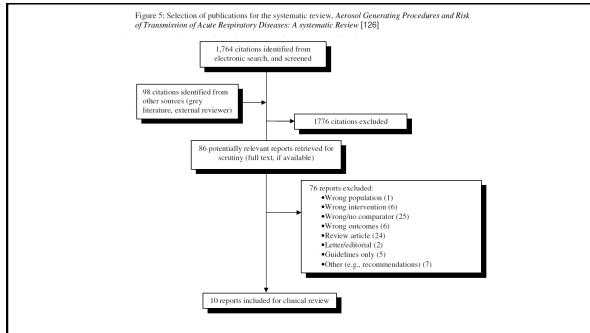
6. Personal protective equipment (PPE) including the use of gloves, long-sleeved gowns, eye protection (goggles or face shields) and facial mask (surgical/procedure mask or particulate respirators) should be used by HCWs during aerosol generating procedures that have been consistently associated with an increased risk of transmission of ARI pathogens<sup>1</sup>. The available evidence suggests performing or being exposed to endotracheal intubation either by itself or combined with other procedures (e.g. cardiopulmonary resuscitation, bronchoscopy) was consistently associated with increased risk of transmission.

Overall Ranking: Conditional

# Airborne Transmission and Precaution – Facts and Myths

## Prof. W.H. Seto, Hong Kong

### Broadcast live from the 2014 Healthcare Infection Society conference, Lyon, France



### WHO meta-analysis

The most consistent statistically significant association of an increased risk of SARS transmission to HCWs was found for **tracheal intubation** (8 studies) (see Table 13 and Fig. 6). **Increased risk of SARS transmission was also reported when performing non-invasive ventilation** (2 studies), tracheotomy (1 study), and manual ventilation before intubation (1 study), however these findings were identified from a very limited number of very low quality studies which makes interpretation difficult.

**3 studies all together**

- One NIV and manual ventilation before intubation is the same study
- One study on NIV with OR > 1
- One study on tracheostomy

### Risk Factors for SARS Transmission from Patients Requiring Intubation: A Multicentre Investigation in Toronto, Canada

James Raboud<sup>1,2</sup>, Alayna Shipyeva<sup>3</sup>, Allison McGee<sup>4,5,6</sup>, Ekika Bonotonic<sup>7</sup>, Martin Chapman<sup>8,9</sup>, Denise Gravel<sup>10</sup>, Bonnie Henry<sup>11</sup>, Stephen Lapinsky<sup>12</sup>, Mark Loeb<sup>13</sup>, L. Clifford McDonald<sup>14</sup>, Marianna Olfen<sup>15</sup>, Shirley Paton<sup>16</sup>, Debra Reynolds<sup>17</sup>, Damien Scales<sup>18</sup>, Sandy Sheu<sup>19</sup>, Andrew Simon<sup>20</sup>, Thomas Stewart<sup>21</sup>, Mary Yaremchuk<sup>22</sup>, Erik Zwolsman<sup>23</sup>, Karen Green<sup>24</sup>

|  | HCWs who did not develop SARS N = 598 | HCWs who developed SARS N = 28 | p value | All N = 624 |
|--|---------------------------------------|--------------------------------|---------|-------------|
| <b>Potential HCW exposure to respiratory secretions*</b> |                                       |                                |         |             |
| ➔ Non-invasive ventilation                               | 99 (17%)                              | 10 (36%)                       | <0.01   | 109 (17%)   |
| ➔ High flow oxygen                                       | 106 (18%)                             | 2 (8%)                         | 0.2P    | 108 (17%)   |
| Mechanical ventilation                                   | 227 (38%)                             | 9 (35%)                        | .73     | 236 (38%)   |
| <b>HCW involvement in intubation*</b>                    |                                       |                                |         |             |
| ➔ Intubation (including first exit intubation)           | 112 (22%)                             | 12 (46%)                       | <0.01   | 144 (23%)   |
| ➔ Suctioning before intubation                           | 106 (18%)                             | 7 (27%)                        | 0.2P    | 113 (18%)   |
| ➔ Suctioning after intubation                            | 155 (26%)                             | 10 (38%)                       | 0.16    | 165 (26%)   |
| ➔ Manual ventilation before intubation                   | 108 (18%)                             | 10 (38%)                       | 0.0P    | 118 (19%)   |

### Poor infection control practices

|   | HCWs who did not develop SARS N = 598 | HCWs who developed SARS N = 28 | p value | All N = 624 |
|---|---------------------------------------|--------------------------------|---------|-------------|
| <b>Respiratory protection while in patient room</b>           |                                       |                                |         |             |
| ➔ None  | 49 (8%)                               | 1 (2%)                         | 0.04    | 52 (8%)     |
| ➔ Surgical mask   | 25 (4%)                               | 2 (7%)                         |         | 27 (4%)     |
| ➔ N95 or equivalent   | 466 (82%)                             | 25 (93%)                       |         | 491 (82%)   |
| ➔ Higher protection than N95 (eg, N95 plus Styler hood, PAPR) | 28 (5%)                               | 0 (0%)                         |         | 28 (4%)     |
| <b>Personal protective equipment removal</b>                  |                                       |                                |         |             |
| ➔ None used   | 41 (7%)                               | 1 (2%)                         | 0.36    | 44 (7%)     |
| ➔ No hand hygiene performed                                   | 102 (17%)                             | 11 (42%)                       |         | 113 (18%)   |
| ➔ No hand hygiene before removing face protection             | 290 (48%)                             | 8 (31%)                        |         | 298 (48%)   |
| ➔ Hand hygiene at the end                                     | 14 (2%)                               | 0 (0%)                         |         | 14 (2%)     |
| ➔ Hand hygiene before removing face protection                | 61 (10%)                              | 4 (15%)                        |         | 65 (10%)    |
| ➔ No hand hygiene at the end                                  |                                       |                                |         |             |
| <b>Infection control training</b>                             |                                       |                                |         |             |
| ➔ None  | 173 (29%)                             | 16 (62%)                       | 0.000   | 189 (30%)   |
| ➔ None  | 9 (2%)                                | 0 (0%)                         |         | 9 (1%)      |
| ➔ Other (information from colleague)                          | 130 (22%)                             | 2 (8%)                         |         | 132 (21%)   |
| ➔ Email or written instructions                               | 127 (21%)                             | 2 (8%)                         |         | 129 (21%)   |
| ➔ Group sessions  | 151 (25%)                             | 4 (15%)                        |         | 155 (25%)   |
| ➔ Individual face to face instruction                         |                                       |                                |         |             |

### Table 4. Multivariate Generalized Estimating Equation logistic regression model of the probability of transmitting SARS from patient to health care worker.

| Parameter  | OR    | 95% CI        | p value |
|--|-------|---------------|---------|
| HCW's eye/mucous membranes exposed to body fluids      | 7.34  | (2.19, 24.52) | .001    |
| Patient APACHE II score ≥20                            | 17.05 | (3.20, 90.75) | .009    |
| HCW present during ECG                                 | 3.52  | (1.16, 7.96)  | .020    |
| HCW present during intubation                          | 2.79  | (1.60, 5.28)  | .004    |
| Patient PaO <sub>2</sub> to FiO <sub>2</sub> ratio ≤59 | 0.65  | (0.31, 1.23)  | .001    |

HCW = health care worker  
doi:10.1177/0950268807312204

**Parameter**

- HCW's eye/mucous membranes exposed to body fluids
- Patient APACHE II score ≥20
- HCW present during ECG
- HCW present during intubation ←
- Patient PaO<sub>2</sub> to FiO<sub>2</sub> ratio ≤59

**HCW present during intubation is a factor but no factor related to NIV or manual ventilation**

# Airborne Transmission and Precaution – Facts and Myths

## Prof. W.H. Seto, Hong Kong

Broadcast live from the 2014 Healthcare Infection Society conference, Lyon, France

NIVs OR>1 Am J Respir Crit Care Med Vol 169, pp 1198-1202, 2004

### Transmission of Severe Acute Respiratory Syndrome during Intubation and Mechanical Ventilation

Robert A. Fowler, Cameron B. Guest, Stephen E. Lepinsky, William J. Sibbald, Marie Louise, Patrick Tang, Andrew E. Slinn, and Thomas E. Stewart

**TABLE 2. ASSOCIATION OF ENDOTRACHEAL INTUBATION WITH THE DEVELOPMENT OF SEVERE ACUTE RESPIRATORY SYNDROME AMONG PHYSICIANS AND NURSES**

| Any intubation with intubation | n  | Developed SARS | RR    | 95% Confidence Interval | p Value |
|--------------------------------|----|----------------|-------|-------------------------|---------|
| All healthcare workers         |    |                |       |                         |         |
| Yes                            | 14 | 6              | 13.29 | 2.99-59.04              | 0.003   |
| No                             | 62 | 2              |       |                         |         |
| For nurses                     |    |                |       |                         |         |
| Yes                            | 4  | 3              | 21.38 | 4.89-91.17              | 0.001   |
| No                             | 57 | 2              |       |                         |         |
| For physicians                 |    |                |       |                         |         |
| Yes                            | 10 | 3              | 3.82  | 0.23-62.24              | 0.5     |
| No                             | 5  | 0              |       |                         |         |

**TABLE 3. ASSOCIATION OF VENTILATION STRATEGIES WITH THE DEVELOPMENT OF SEVERE ACUTE RESPIRATORY SYNDROME AMONG HEALTHCARE WORKERS**

| Ventilation Mode            | n  | Developed SARS | RR   | 95% Confidence Interval | p Value |
|-----------------------------|----|----------------|------|-------------------------|---------|
| Patients treated with NIPPV |    |                |      |                         |         |
| Yes                         | 6  | 1              | 2.33 | 0.23 to 21.76           | 0.5     |
| No*                         | 28 | 2              |      |                         |         |
| Patients treated with IRD   |    |                |      |                         |         |
| Yes                         | 18 | 2              | 0.74 | 0.11 to 4.92            | 0.8     |
| No                          | 28 | 2              |      |                         |         |

Abbreviations: IRD = high-frequency oscillating NIPPV; noninvasive positive-pressure ventilation; RR = relative risk; SARS = severe acute respiratory syndrome.  
\*Conventional ventilation in the absence with an odds ratio of developing SARS = 1.

Research article Open Access

### Which preventive measures might protect health care workers from SARS?

Wei-Qing Chen<sup>1</sup>, Wen-Hua Ling<sup>2</sup>, Qi-Yong Lu<sup>1</sup>, Yuan-Tao Hao<sup>3</sup>, Zhong-Ning Lin<sup>3</sup>, Li-Ling<sup>1</sup>, Jian Huang<sup>1</sup>, Gang Li<sup>3</sup> and Guang-Mei Yan<sup>1</sup>

Tracheostomy

Several limitations of the study ought to be mentioned here. First, our investigation was limited to two affiliated hospitals of Sun Yat-sen University. This is not representative of all of the hospitals in which patients with SARS were admitted and cared for in Guangzhou. Therefore, this is a typical case investigation. Second, ventilation in the wards was not objectively assessed for some reason, meaning that we could not exactly evaluate the influence of the ventilation in the wards on the transmission of SARS among HCWs. Third, we could not trace the true structure of the primary, secondary, and third class cases which prevented us from clarifying the association of the HCWs infected by SARS with the index case directly or indirectly. Fourth, some factors, such as oxygen therapy and bi-level positive airway pressure ventilation were found to be related to nosocomial infection of SARS in other study [21], were not included in the present study, which indicated that we missing an opportunity to find some effective measures for protecting HCWs from SARS or to assess their effect. Fifth, in the early stage of SARS epidemic, the diagnosis of SARS was based on the history of epidemiology, signs and symptoms suggested by the Health Ministry of China [12], not on the directive biomarkers of SARS-CoV or antibodies against SARS-CoV.

**CDC** Centers for Disease Control and Prevention  
CDC 5675 Hong Kong, HONGKONG

### Aerosol-generating procedures

Some procedures performed on patients are more likely to generate higher concentrations of respiratory aerosols than coughing, sneezing, talking, or breathing, presenting healthcare personnel with an increased risk of exposure to infectious agents present in the aerosol. Although there are limited objective data available on disease transmission related to such aerosols, many authorities view the following procedures as being very high exposure risk aerosol-generating procedures for which special precautions should be used:

- Bronchoscopy
- Sputum induction
- Endotracheal intubation and extubation
- Open suctioning of airways
- Cardiopulmonary resuscitation
- Autopsies

### Aerosol-generating high risk procedures.

Both WHO/CDC: Intubation, bronchoscopy, autopsies, cardiopulmonary resuscitation, open suction of airways.

CDC only: extubation, sputum induction;

WHO only: collection of lower respiratory tract specimens.

### About Sputum Induction

Sputum induction is used to obtain sputum for diagnostic purposes when patients are unable to spontaneously expectorate a specimen. The procedure uses sterile water or hypertonic saline to irritate the airway, increase secretions, promote coughing, and produce a specimen. The CDC and OSHA both classify sputum induction as a high-risk procedure when performed on a person with suspected or known infectious TB

### WHO meta-analysis

of very low quality studies which makes interpretation difficult. There was not a statistically significant difference in the risk of SARS transmission between exposed and unexposed HCWs for all other procedures evaluated (i.e. suction before intubation, suction after intubation, manual ventilation after intubation, bronchoscopy, nebulizer treatment, manipulation of oxygen mask, manipulation of BiPAP mask, defibrillation, chest compressions, insertion of nasogastric tube, collection of sputum sample, high frequency oscillatory ventilation, high flow oxygen, endotracheal aspiration, suction of body fluid, administration of oxygen, chest physiotherapy, mechanical ventilation).

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# Airborne Transmission and Precaution – Facts and Myths

Prof. W.H. Seto, Hong Kong

Broadcast live from the 2014 Healthcare Infection Society conference, Lyon, France

## Nebulizers

Emerging Infectious Diseases • www.cdc.gov/eid • Vol. 10, No. 2, February 2004

### Cluster of SARS among Medical Students Exposed to Single Patient, Hong Kong

Tse-wai Wong,\* Chin-kei Lee,† Wilson Tam,\* Joseph Tak-fai Lau,\* Tak-sun Yu,\* Siu-fai Lui,‡ Paul K.S. Chan,\* Yunguo Li,§ Joseph S. Breesee,¶ Joseph J.Y. Sung,\* and Umesh D. Parashar,¶ for the Outbreak Study Group\*\*

At the time this investigation was begun, jet nebulizer therapy given to the index patient was widely believed to have facilitated transmission. However, our findings demonstrate efficient transmission even before nebulizer therapy was begun on the afternoon of March 6.

Table 3. Time schedule of the clinical assessment of 19 medical students\*

| Time                  | Ill total |
|-----------------------|-----------|
| 6 March 2003          |           |
| 10:00–10:40 a.m.      | 0/3       |
| 10:40–11:20 a.m.      | 2/3       |
| 11:30 a.m.–12:00 p.m. | 3/3       |
| 12:00–12:40 p.m.      | 1/1       |
| 7 March 2003          |           |
| 10:00–10:40 a.m.      | 1/2       |
| 10:40–11:20 a.m.      | 0/3       |
| 11:30 a.m.–12:00 p.m. | 0/3       |
| 12:00–12:40 p.m.      | 0/1       |

\*Including the index patient whose illness had a long incubation period.

C. Is N95 Fit Testing Necessary?

## Is N95 Fit Testing Required?

•Most elements of the NIOSH respirator program (i.e., fit factor, protection estimates, etc.) are theoretical using mathematical models and have not been confirmed in practical work situations.

•In one NIOSH study, fit testing respirator assignment errors were as high as 20%.

From Bill Jarvis, CDC

## Quantitative Fit Testing Does Not Ensure Health Care Worker Respiratory Protection

M Lee, S Takaya, R Long, M Joffe  
SHEA Abstract - Apr 2005

- ◆ 58 HCW never fit-tested
- ◆ 25/58 (43%) passed initial fit-test
  - 19 passed with instruction = 76% total passes
- ◆ 3 months later, 49/58 re-tested
  - 47% recalled respirator type and passed fit-test
  - Passing at 3 months did not correlated with passing at initial fit-test or receipt of instruction

No, fit testing is not needed.

- ◆ No added value to adequate training:

TABLE 2  
RESULTS OF QUALITATIVE FIT TESTING OF PARTICIPANTS IN GROUP A (INDIVIDUALLY TRAINED AND FIT TESTED), GROUP B (TRAINED BY CLASSROOM DEMONSTRATION AND NOT FIT TESTED), AND GROUP C (NO PRIOR TRAINING), STRATIFIED BY PREVIOUS EXPERIENCE USING RESPIRATORS

|                             | Group A    | Group B    | Group C     |
|-----------------------------|------------|------------|-------------|
| Used respirators            |            |            |             |
| Passed                      | 35         | 33         | 19          |
| Failed                      | 1          | 5          | 3           |
| Never used respirators      |            |            |             |
| Passed                      | 14         | 25         | 31          |
| Failed                      | 2          | 1          | 10          |
| Passed/<br>participants (%) | 49/52 (94) | 58/64 (91) | 50/63 (79)* |

\*Stratified Mantel-Haenszel chi-square: Group A versus Group B,  $P < .001$ , odds ratio (OR)=.65; 95% confidence interval (CI), .44 to .88.  
Group A versus Group C,  $P < .01$ , OR=3.0, CI, 1.6 to 5.6.  
Group B versus Group C,  $P < .01$ , OR=2.6, CI, 1.3 to 7.3.  
Group A/B versus Group C,  $P < .05$ , OR=3.1, CI, 1.1 to 8.8.

Hannum D, et al. The effect of respirator training on the ability of healthcare workers to pass a qualitative fit test. *Infect Control Hosp Epidemiol* 1996;17:636-40

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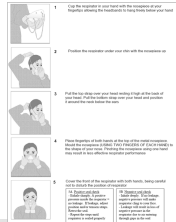
**Infection prevention and control of epidemic- and pandemic-prone acute respiratory infections in health care**

WHO Guidelines

- Train those who may need to wear a particulate respirator in how to use the device (e.g. putting on of respirator, avoiding self-contamination during use and on removal, and achieving the best seal) (158). The inclusion of fit-testing in respirator user-training has not been shown to be an effective means to improve compliance with proper use of respirators (158). Follow local regulations regarding the regular performance of the fit test.

### Seal check


- A method for determining whether a respirator has been put on and adjusted to fit properly
- Perform every time when a respirator is worn



### Seal check

**5** Cover the front of the respirator with both hands, being careful not to disturb the position of respirator

|  |  |
|--|--|
| <p><b>5A Positive seal check</b></p> <ul style="list-style-type: none"> <li>- Exhale sharply. A positive pressure inside the respirator =&gt; no leakage. If leakage, adjust position and/or tension straps. Retest the seal.</li> <li>- Repeat the steps until respirator is sealed properly</li> </ul> | <p><b>5B Negative seal check</b></p> <ul style="list-style-type: none"> <li>- Inhale deeply. If no leakage, negative pressure will make respirator cling to your face.</li> <li>- Leakage will result in loss of negative pressure in the respirator due to air entering through gaps in the seal</li> </ul> |
|--|--|



**Infectious Diseases Society of America (IDSA) letter to CDC**  
February 4, 2005

However, we disagree with the next sentence, which is inherently contradictory, “However, HCWs should undergo initial and periodic fit testing.” There is no sound evidence to support initial and periodic fit testing.

D. Is negative-pressure room an absolute necessity?

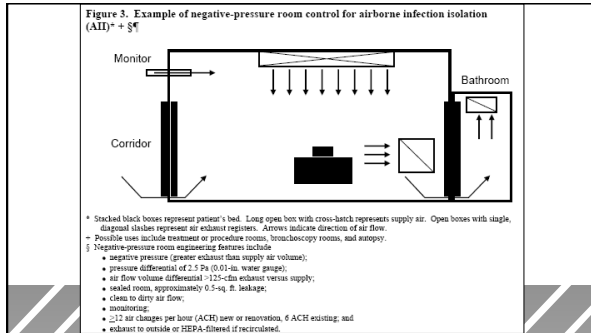
“The most important part of tuberculosis infection control is getting the patient into the isolation room.”

Wurtz, 1996, ICHE

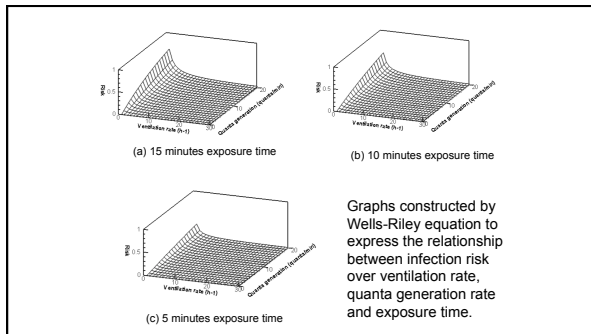
# Airborne Transmission and Precaution – Facts and Myths

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- Airborne transmission isolation room:
- Single room or cohorting
  - Negative pressure (2.5 Pa or .01 in water)
  - 6 - 12 air changes per hour - now it is  $\geq 12$
  - Exhaust air outside or recirculated HEPA filters
- Anteroom may enhance effects
  - Upper-room UVGI only as adjunct
  - Avoid within room circulation (eg. fans)



AR Escombe et al:  
Supervise by Imperial College and John Hopkins

65 rooms in 8 hospitals in Lima, Peru

|                    |               |
|--------------------|---------------|
| Old Facilities:    | Median 37 ACH |
| Modern Facilities: | Median 18 ACH |

**Measurements in Grantham Chest Hospital Hong Kong (tests in 4 rooms)**

|  |            |
|--|------------|
| Windows open (100%), Doors open (100%) | = 45.4 ACH |
| Windows open (100%), doors close       | = 20.2 ACH |
| Windows open (50%), doors close        | = 15.5 ACH |
| Windows close, doors close             | = 0.6 ACH  |
| Windows close, doors open              | = 3.4 ACH  |

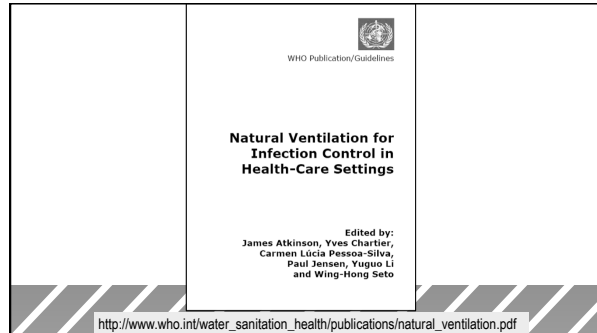
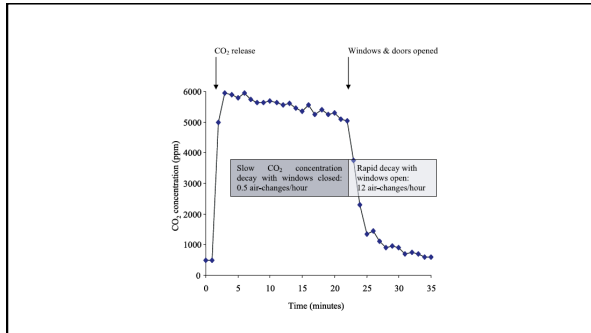


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# Airborne Transmission and Precaution – Facts and Myths

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**What is natural ventilation?**

Natural ventilation Use of natural forces to introduce and distribute outdoor air into or out of a building. These natural forces can be wind pressures or pressure generated by the density difference between indoor and outdoor air.

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**TB incidence in Grantham and HA hospitals 1996-2005**

|  |      |
|--|------|
| <u>Mean Incidence (per 100,000 pat year)</u> |      |
| HA hospitals: (257 cases)                    | 60.4 |
| GH: (5 cases)                                | 65.2 |

p = 0.9

Marion A. Kainer MD, MPH, FRACP  
 Medical Epidemiologist/ Infectious Diseases Physician  
 Director, Hospital Infections and Antimicrobial Resistance  
 Program Tennessee Dept. of Health

Dr Seto,

I really enjoyed your insightful presentation yesterday... I am sorry you had to skip through so many of the slides in the interests of time.

I did my infectious disease training in Australia at Fairfield hospital... a stand-alone infectious diseases hospital that saw/treated most of the TB patients in Victoria-- we had single rooms, all of which opened up to a private balcony... we used lots of open air ventilation, high ACH and none of our staff converted their TSTs.



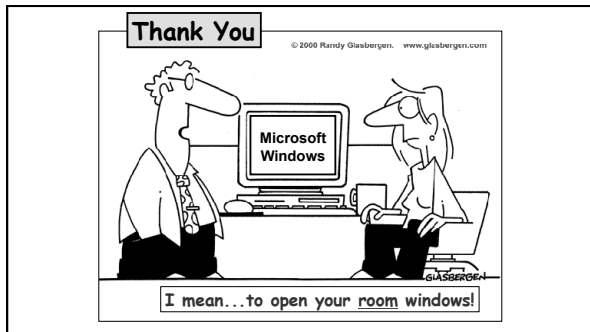
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Sister Mary Gabriel O'Mahoney (1921-2009)  
Source: Hong Kong Tuberculosis, Chest and  
Heart Diseases Association

**Opening your windows,  
The key to natural ventilation..**



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